# $\Lambda_b \! o \! \Lambda_c \ell ar{ u}$ , Tests of HQS, and SU(3) breaking in $B_{(s)} o D_{(s)}^* \ell ar{ u}$

**Zoltan Ligeti** 

2019 Lattice X Intensity Frontier Workshop

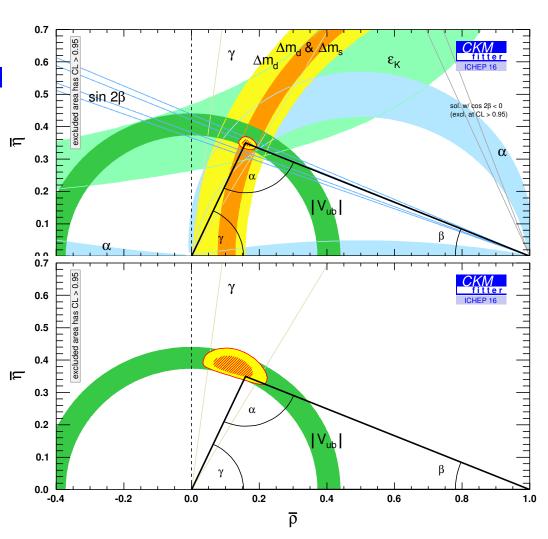
BNL, Sep 23-25, 2019

See: Bernlochner, ZL, Robinson, Sutcliffe, arXiv:1808.09464 [PRL]; 1812.07593 [PRD]

Bernlochner and ZL, talk at LHCb analysis meeting, Sep 4, 2019 — LQCD connections

# **CKM** fit: plenty of room for new physics

- SM dominates CP viol. ⇒ KM Nobel
- The implications of the consistency = are often overstated
- Much larger allowed region if the SM is not assumed
- Tree-level (mainly  $V_{ub}$  &  $\gamma$ ) vs. loop
- $V_{ub}$  &  $V_{cb}$ : important SM measurements + essential for NP sensitivity



• In loop (FCNC) processes NP/SM  $\sim 20\%$  still allowed (mixing,  $B \to X \ell^+ \ell^-$ ,  $B \to X \gamma$ , ...)

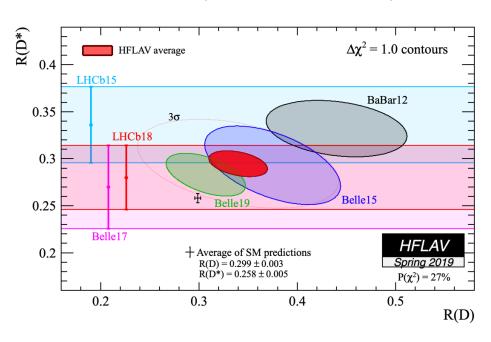




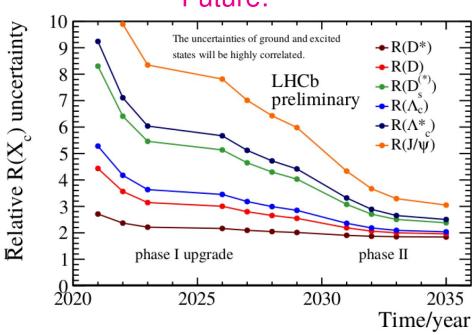
# Recent focus: R(D) and $R(D^*)$

■ BaBar, Belle, LHCb: enhanced  $\tau$  rates,  $R(D^{(*)}) = \frac{\Gamma(B \to D^{(*)} \tau \bar{\nu})}{\Gamma(B \to D^{(*)} l \bar{\nu})}$   $(l = e, \mu)$ 

Notation:  $\ell = e, \mu, \tau$  and  $l = e, \mu$ 



#### Future:



Belle II (50/ab, in SM):  $\delta R(D^{(*)}) \sim 2(3)\%$ 

- Big improvements: even if central values change, plenty of room to establish NP
- Focus on the 3 modes that are expected to be most precise in the long trem.





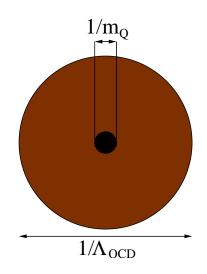
## **Heavy quark symmetry 101**

- Model independent from QCD, used both in some continuum & LQCD methods
- $Q \, \overline{Q}$ : positronium-type bound state, perturbative in the  $m_Q \gg \Lambda_{\rm QCD}$  limit
- $Q \overline{q}$ : wave function of the light degrees of freedom ("brown muck") insensitive to spin and flavor of Q

(A B meson is a lot more complicated than just a  $b\bar{q}$  pair)

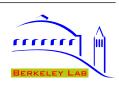
In the  $m_Q\gg \Lambda_{\rm QCD}$  limit, the heavy quark acts as a static color source with fixed four-velocity  $v^\mu$  [Isgur & Wise]

SU(2n) heavy quark spin-flavor symmetry at fixed  $v^{\mu}$  [Georgi]



- Similar to atomic physics:  $(m_e \ll m_N)$ 
  - 1. Flavor symmetry  $\sim$  isotopes have similar chemistry [ $\Psi_e$  independent of  $m_N$ ]
  - 2. Spin symmetry  $\sim$  hyperfine levels almost degenerate  $[\vec{s}_e \vec{s}_N \text{ interaction} \rightarrow 0]$





## Spectroscopy of heavy-light mesons

• In  $m_Q\gg \Lambda_{\rm QCD}$  limit, spin of the heavy quark is a good quantum number, and so is the spin of the light d.o.f., since  $\vec{J}=\vec{s}_Q+\vec{s}_l$  and

angular momentum conservation: 
$$[\vec{J},\mathcal{H}]=0$$
 heavy quark symmetry:  $[\vec{s}_Q,\mathcal{H}]=0$   $\Rightarrow$   $[\vec{s}_l,\mathcal{H}]=0$ 

For a given  $s_l$ , two degenerate states:

$$J_{\pm} = s_l \pm \frac{1}{2}$$

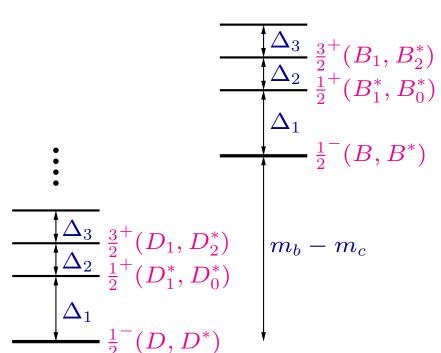
 $\Rightarrow \Delta_i = \mathcal{O}(\Lambda_{\rm QCD})$  — same in B and D sector

Doublets are split by order  $\Lambda_{\rm QCD}^2/m_Q$ , e.g.:

$$m_{D^*} - m_D \sim 140 \,{\rm MeV}$$

$$m_{B^*} - m_B \sim 45 \, \mathrm{MeV}$$

ratio 
$$\sim m_c/m_b$$





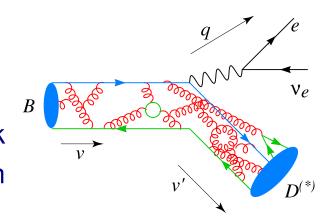


# Basics of $B o D^{(*)}\ellar u$ or $\Lambda_b o \Lambda_c\ellar u$

- In the  $m_{b,c} \gg \Lambda_{\rm QCD}$  limit, configuration of brown muck only depends on the four-velocity of the heavy quark, but not on its mass and spin
- On a time scale  $\ll \Lambda_{\rm QCD}^{-1}$  weak current changes  $b \to c$  i.e.:  $\vec{p}_b \to \vec{p}_c$  and possibly  $\vec{s}_Q$  flips

In  $m_{b,c} \gg \Lambda_{\rm QCD}$  limit, brown muck only feels  $v_b \to v_c$ 

• Form factors independent of Dirac structure of weak current  $\Rightarrow$  all form factors related to a single function of  $w=v\cdot v'$ , the Isgur-Wise function,  $\xi(w)$ 



Contains all nonperturbative low-energy hadronic physics

- $\xi(1) = 1$ , because at "zero recoil" configuration of brown muck not changed at all
- Same holds for  $\Lambda_b \to \Lambda_c \ell \bar{\nu}$ , different Isgur-Wise fn,  $\xi \to \zeta$  [also satisfies  $\zeta(1) = 1$ ]





# $\Lambda_b o \Lambda_c \ell ar u$

#### Ancient knowledge: baryons simpler than mesons

Used to be well known — forgotten by experimentalists as well as theorists...

VOLUME 75, NUMBER 4

PHYSICAL REVIEW LETTERS

24 July 1995

Form Factor Ratio Measurement in  $\Lambda_c^+ \to \Lambda e^+ \nu_e$ 

G. Crawford, <sup>1</sup> C. M. Daubenmier, <sup>1</sup> R. Fulton, <sup>1</sup> D. Fujino, <sup>1</sup> K. K. Gan, <sup>1</sup> K. Honscheid, <sup>1</sup> H. Kagan, <sup>1</sup> R. Kass, <sup>1</sup> J. Lee, <sup>1</sup> [CLEO]

element  $|V_{cs}|$  is known from unitarity [1]. Within heavy quark effective theory (HQET) [2],  $\Lambda$ -type baryons are more straightforward to treat than mesons as they consist of a heavy quark and a spin and isospin zero light diquark.





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Combine LHCb measurement of  $d\Gamma(\Lambda_b\to\Lambda_c\mu\bar{\nu})/dq^2$  shape [1709.01920] with LQCD results for (axial-)vector form factors [1503.01421]

[Bernlochner, ZL, Robinson, Sutcliffe, 1808.09464; 1812.07593]





#### Intro to $\Lambda_b \to \Lambda_c \ell \bar{\nu}$

- Ground state baryons are simpler than mesons: brown muck in (iso)spin-0 state
- SM: 6 form factors, functions of  $w=v\cdot v'=(m_{\Lambda_b}^2+m_{\Lambda_c}^2-q^2)/(2m_{\Lambda_b}m_{\Lambda_c})$   $\langle \Lambda_c(p',s')|\bar{c}\gamma_\nu b|\Lambda_b(p,s)\rangle=\bar{u}_c(v',s')\Big[f_1\gamma_\mu+f_2v_\mu+f_3v'_\mu\Big]u_b(v,s)$   $\langle \Lambda_c(p',s')|\bar{c}\gamma_\nu\gamma_5 b|\Lambda_b(p,s)\rangle=\bar{u}_c(v',s')\Big[g_1\gamma_\mu+g_2v_\mu+g_3v'_\mu\Big]\gamma_5\,u_b(v,s)$

Heavy quark limit:  $f_1 = g_1 = \zeta(w)$  Isgur-Wise fn, and  $f_{2,3} = g_{2,3} = 0$  [ $\zeta(1) = 1$ ]

• Include  $\alpha_s$ ,  $\varepsilon_{b,c}$ ,  $\alpha_s \varepsilon_{b,c}$ ,  $\varepsilon_c^2$ :  $m_{\Lambda_{b,c}} = m_{b,c} + \bar{\Lambda}_{\Lambda} + \dots$ ,  $\varepsilon_{b,c} = \bar{\Lambda}_{\Lambda}/(2m_{b,c})$   $(\bar{\Lambda}_{\Lambda} \sim 0.8 \, \text{GeV} \, \text{larger than } \bar{\Lambda} \, \text{for mesons, enters via eq. of motion} \Rightarrow \text{expect worse expansion?})$ 

$$f_1 = \zeta(w) \left\{ 1 + \frac{\alpha_s}{\pi} C_{V_1} + \varepsilon_c + \varepsilon_b + \frac{\alpha_s}{\pi} \left[ C_{V_1} + 2(w-1)C'_{V_1} \right] (\varepsilon_c + \varepsilon_b) + \frac{\hat{b}_1 - \hat{b}_2}{4m_c^2} + \dots \right\}$$

• No  $\mathcal{O}(\Lambda_{\mathrm{QCD}}/m_{b,c})$  subleading Isgur-Wise function, only 2 at  $\mathcal{O}(\Lambda_{\mathrm{QCD}}^2/m_c^2)$ 

[Falk & Neubert, hep-ph/9209269]

HQET is more constraining than in meson decays!

 $B o D^{(*)} \ell \bar{
u}$ : 6 sub-subleading Isgur-Wise functions at  ${\cal O}(\Lambda_{
m QCD}^2/m_c^2)$ 

[w/ LCSR, 1908.09398]





#### Fits and form factor definitions

Standard HQET form factor definitions:  $\{f_1, g_1\} = \zeta(w) \left[ \mathbf{1} + \mathcal{O}(\alpha_s, \varepsilon_{c,b}) \right]$  $\{f_{2,3}, g_{2,3}\} = \zeta(w) \left[ \mathbf{0} + \mathcal{O}(\alpha_s, \varepsilon_{c,b}) \right]$ 

Form factor basis in LQCD calculation:  $\{f_{0,+,\perp}, g_{0,+,\perp}\} = \zeta(w) \left[1 + \mathcal{O}(\alpha_s, \varepsilon_{c,b})\right]$ 

LQCD results published as fits to 11 or 17 BCL parameters, including correlations

All 6 form factors computed in LQCD  $\sim$  Isgur-Wise fn  $\Rightarrow$  despite good precision, limited constraints on subleading terms and their w dependence [Detmold, Lehner, Meinel, 1503.01421]

• Only 4 parameters (and  $m_b^{1S}$ ):  $\{\zeta',\ \zeta'',\ \hat{b}_1,\ \hat{b}_2\}$ 

$$\zeta(w) = 1 + (w - 1)\zeta' + \frac{1}{2}(w - 1)^2\zeta'' + \dots$$
  $b_{1,2}(w) = \zeta(w)(\hat{b}_{1,2} + \dots)$ 

(Expanding in w-1 or in conformal parameter, z, makes negligible difference)

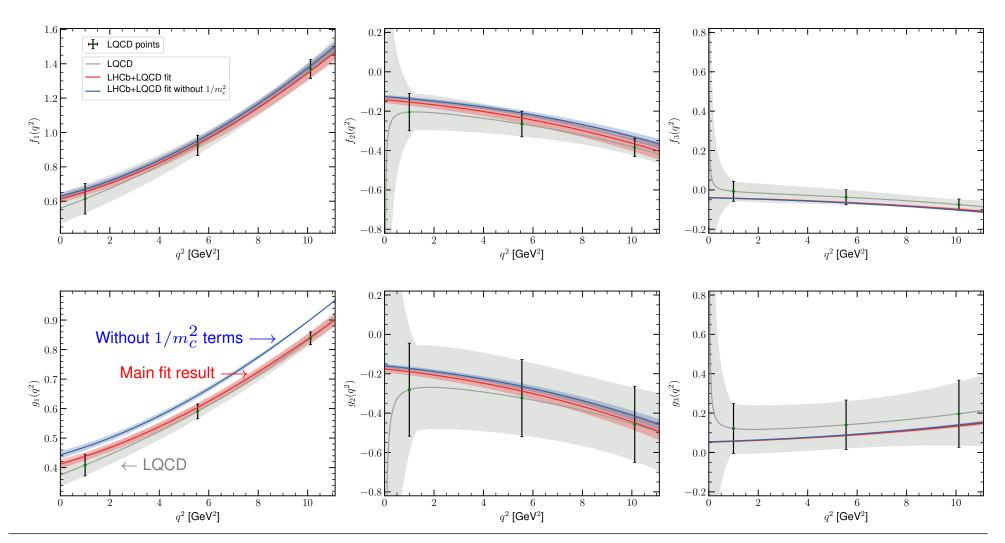
ullet Current LHCb and LQCD data do not yet allow constraining  $\zeta'''$  and/or  $\hat{b}'_{1,2}$ 





# Fit to lattice QCD form factors and LHCb (1)

• Fit 6 form factors w/ 4 parameters:  $\zeta'(1)$ ,  $\zeta''(1)$ ,  $\hat{b}_1$ ,  $\hat{b}_2$  [LQCD: Detmold, Lehner, Meinel, 1503.01421]





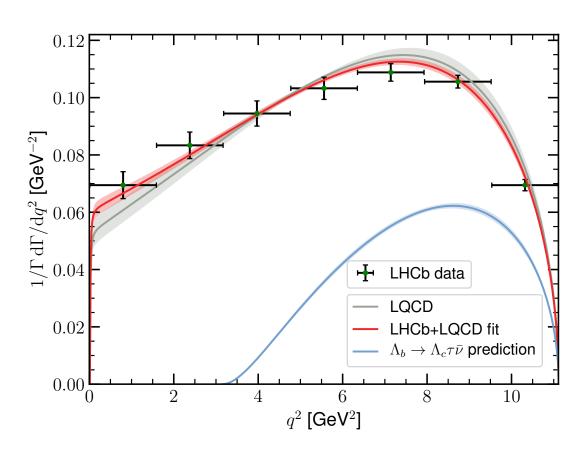


## Fit to lattice QCD form factors and LHCb (2)

Our fit, compared to the LQCD fit to LHCb:

• Obtain:  $R(\Lambda_c) = 0.324 \pm 0.004$ 

A factor of  $\sim 3$  more precise than LQCD prediction — data constrains combinations of form factors relevant for predicting  $R(\Lambda_c)$ 



We do not follow: "In order to determine the shape of the Isgur-Wise function  $\xi_B(w)$ , we use the square root of  $dN_{\rm corr}/dw$  ... evaluated at the midpoint in the seven unfolded w bins." [LHCb, 1709.01920]



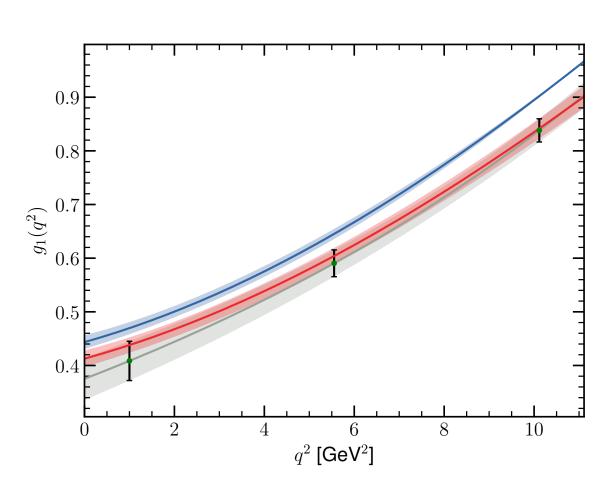


# The fit requires the $1/m_c^2$ terms

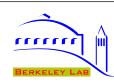
- E.g., fit results for  $g_1$  blue band shows fit with  $\hat{b}_{1,2}=0$
- Find:  $\hat{b}_1 = -(0.46 \pm 0.15) \, \mathrm{GeV}^2$  ... of the expected magnitude

Well below the model-dependent estimate:  $\hat{b}_1=-3\bar{\Lambda}_{\Lambda}^2\simeq -2\,{
m GeV}^2$  [Falk & Neubert, hep-ph/9209269]

• Expansion in  $\Lambda_{\rm QCD}/m_c$  appears well behaved (contrary to some claims in literature)

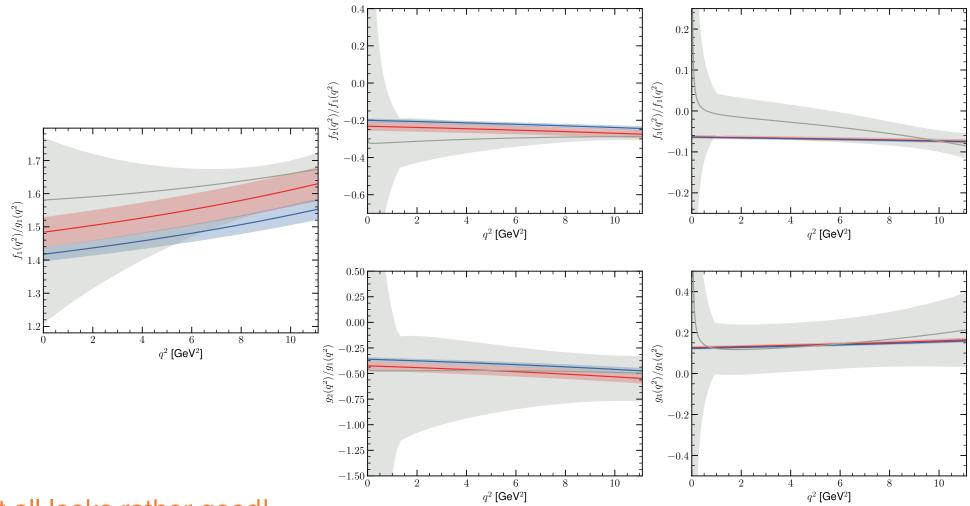






#### **Ratios of form factors**

•  $f_1(q^2)/g_1(q^2) = \mathcal{O}(1)$ , whereas  $\left\{ f_{2,3}(q^2)/f_1(q^2), \ g_{2,3}(q^2)/g_1(q^2) \right\} = \mathcal{O}(\alpha_s, \varepsilon_{c,b})$ 



• It all looks rather good!





#### **BSM:** tensor form factors — issues?

There are 4 form factors

We get parameter free predictions!

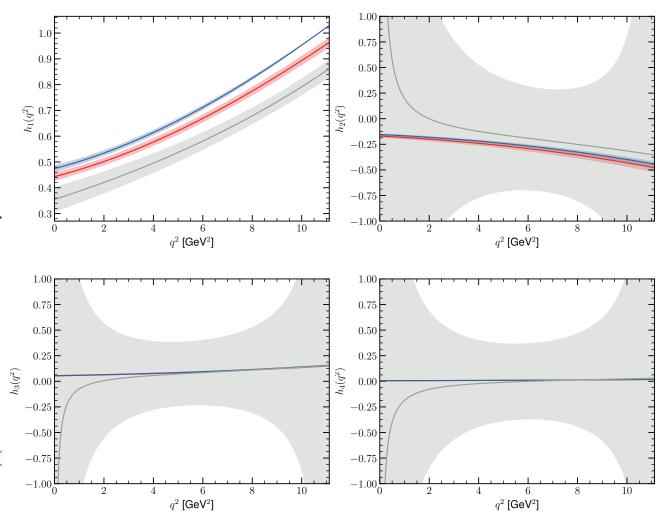
HQET: 
$$h_1 (= \widetilde{h}_+) = \mathcal{O}(1)$$
  
 $h_{2,3,4} = \mathcal{O}(\alpha_s, \varepsilon_{c,b})$ 

LQCD basis: all 4 form factors calculated are  $\mathcal{O}(1)$ 

[Datta, Kamali, Meinel, Rashed, 1702.02243]

Compare at  $\mu = \sqrt{m_b m_c}$ 

 Heavy quark symmetry breaking terms consistent (weakly constrained by LQCD)



If tensions between data and SM remain, we'll have to sort out this difference





#### More to measure...

• What is the maximal information that the  $\Lambda_b \to \Lambda_c \mu \bar{\nu}$  decay can give us?

 $\Lambda_c \to pK\pi$  complicated,  $\Lambda_c \to \Lambda\pi \, (\to p\pi\pi)$  looses lots of statistics

• If  $\Lambda_c$  decay distributions are integrated over, but  $\theta$  is measured (angle between the  $\vec{p}_{\mu}$  and  $\vec{p}_{\Lambda_c}$  in  $\mu\bar{\nu}$  rest frame), then maximal info one can get:

$$\frac{\mathrm{d}^2\Gamma(\Lambda_b \to \Lambda_c \mu \bar{\nu})}{\mathrm{d}w\,\mathrm{d}\cos\theta} = \frac{3}{8} \Big[ (1 + \cos^2\theta)\,H_T(w) + 2\cos\theta\,H_A(w) + 2(1 - \cos^2\theta)\,H_L(w) \Big]$$
(forward-backward asym.)

Measuring the 3 terms would give more information than just  $d\Gamma(\Lambda_b \to \Lambda_c \mu \bar{\nu})/dq^2$ 

These results will be included in Hammer CC



[Bernlochner, Duell, ZL, Papucci, Robinson, soon]





SU(3) breaking in  $B_{(s)} o D_{(s)}^*\ellar
u$ 

# SU(3) breaking in $B_{(s)} o D_{(s)}\ellar u$

- lacktriangle We know little directly from the data about SU(3) breaking in semileptonic decays
- Isgur-Wise fn: "The correction is velocity dependent, but vanishes at zero recoil as required by heavy quark symmetry", about 5% at  $w_{
  m max}$  [Jenkins, PLB 281 (1992) 331]

Calculations showing that  $\mathcal{O}(20\%)$  corrections to SU(3) symmetry are possible

[e.g: Boyd & Grinstein, hep-ph/9502311; Eeg, Fajfer, Kamenik, arXiv:0807.0202]

• LQCD mostly at w=1 so far; FLAG review, Sec.8.4, results for both: [1902.08191]

$$\mathcal{G}_{B o D}(1)=1.035\pm0.040$$
  $\mathcal{G}_{Bs o Ds}(1)=1.068\pm0.040$   $R(D)=0.300\pm0.008$   $R(D_s)=0.301\pm0.006$  [1703.09728  $\leftrightarrow$  FLAG]  $\mathcal{F}_{B o D^*}(1)=0.895\pm0.026$   $\mathcal{F}_{Bs o D_s^*}(1)=0.883\pm0.030$ 

For decay constants, SU(3) breaking is substantial:  $f_{B_s}/f_B \approx 1.21 \pm 0.01$ 





# SU(3) breaking in $B_{(s)} o D_{(s)}\ellar u$ (cont.)

Some new/old considerations suggesting possibly sizable effects:

Bjorken and Voloshin sum rules relate the behavior of  $B_{(s)} \to D_{(s)}^{(*)}$  ground state transition to decays to excited states; e.g., Voloshin sum rule [PRD 46 (1992) 3062]

$$\rho^2 = -\frac{\mathrm{d}}{\mathrm{d}w} \frac{\mathrm{d}\Gamma}{\mathrm{d}w}\Big|_{w=1} < \frac{1}{4} + \frac{m_M - m_Q}{2(m_{M_1} - m_M)} + \dots$$

where  $m_{M_1} - m_M$  is the gap to the first excited meson state above  $D_{(s)}^{(*)}$ 

• Expect: slope parameter,  $\rho^2$ , increases, if  $B_{(s)} \to D_{(s)}^{**}$  rates increase if  $m_{M_1} - m_M$  decreases

Discovered in 2003:  $m_{D_{s0}^{*\pm}} - m_{D_s^{\pm}} \approx 206 \, \mathrm{MeV}$ , but  $m_{D_0^{*\pm}} - m_{D^{\pm}} \approx 484 \, \mathrm{MeV}$ 

• It will be interesting to see if these arguments for a steeper fall-off play out, or are compensated by some other effects — will (eventually) measure SU(3) breaking





# Some probes of SU(3) breaking

- ullet Compare shapes of  $\mathrm{d}\Gamma/\mathrm{d}w$
- Factorization may work better in  $B_s \to D_s^{(*)} \pi$  than  $B \to D^{(*)} \pi$ , tells us  $\mathrm{d}\Gamma/\mathrm{d}w\big|_{w_{\mathrm{max}}}$

Interesting for hadronic dynamics as well, to better understand: [hep-ph/0312319]

$$|A(\bar{B}^0 \to D^+\pi^-)| = |T + E|, \quad |A(B^- \to D^0\pi^-)| = |T + C|, \quad |A(B_s \to D_s^-\pi^+)| = |T|$$

Since  $\tau_{B^0} \approx \tau_{B_s}$ , we can compare directly the branching ratios:

[1] 
$$\mathcal{B}(B^0 \to D\pi) = (2.52 \pm 0.13) \times 10^{-3}$$

[2] 
$$\mathcal{B}(B^0 \to D^*\pi) = (2.74 \pm 0.13) \times 10^{-3}$$

[3] 
$$\mathcal{B}(B_s \to D_s \pi) = (3.00 \pm 0.23) \times 10^{-3}$$
 [LHCb, only 0.37/fb]

[4] 
$$\mathcal{B}(B_s \to D_s^* \pi) = (2.0 \pm 0.5) \times 10^{-3}$$

Central values: [1] < [3] and [2] > [4] seem puzzling, warrants more precise measurements

• Improvements in  $B_{(s)} o D_{(s)}^{**}\pi$  and  $B_{(s)} o D_{(s)}^{**}\ell\bar{\nu}$  rate measurements





# $D_{(s)}^{**}$ states: surprises in 1606.09300 (for me?)

• Mass splitting:  $m_{D_1^*} - m_{D_0^*} \sim m_{D^*} - m_D$ ?

Poor consistency of  $m_{D_0^*}$  measurements

Parameter	$ar{\Lambda}$	$ar{\Lambda}'$	$ar{\Lambda}^*$
Value [GeV]	0.40	0.80	0.76

Particle	$s_l^{\pi_l}$	$J^P$	m (MeV)	$\Gamma$ (MeV)
$D_0^*$	$\frac{1}{2}^{+}$	0+	2349	236
$D_1^*$	$\frac{1}{2}^{+}$	1+	2427	384
$D_1$	$\frac{3}{2}^{+}$	1+	2421	31
$D_2^*$	$\frac{3}{2}^{+}$	2+	2461	47

•  $\mathcal{B}(B \to D_0^*\pi)$  puzzling:  $\ll D_1\pi$  and  $D_2^*\pi$  breakdown of factorization?

Small fraction of BaBar & Belle data + LHCb

Decay mode	Branching fraction
$B^0 \to D_2^{*-} \pi^+$	$(0.59 \pm 0.13) \times 10^{-3}$
$B^0 \to D_1^- \pi^+$	$(0.75 \pm 0.16) \times 10^{-3}$
$B^0 \to D_0^{*-} \pi^+$	$(0.12 \pm 0.02) \times 10^{-3}$

•  $D_{s0}^*(2317)$ : orbitally excited state or "molecule"? Nice for LHCb,  $\Gamma_{D_{s0}^*} < 4\,\mathrm{MeV}$ 

If  $D_{s0}^*$  is excited  $c\bar{s}$  state, predict  $\mathcal{B}(D_{s0}^*\to D_s^*\gamma)/\mathcal{B}(D_{s0}^*\to D_s\pi)$  above CLEO bound, <0.059 [Mehen & Springer, hep-ph/0407181; Colangelo & De Fazio, hep-ph/0305140; Godfrey, hep-ph/0305122]

CLEO used 13.5/fb, the Belle bound < 0.18 used 87/fb, the BaBar bound < 0.16 used 232/fb





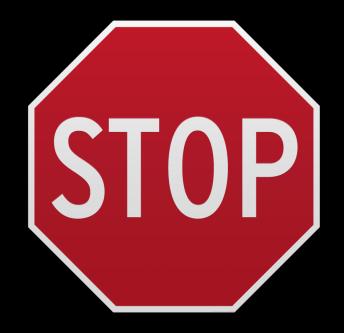
# Final comments

#### **Conclusions**

- Measurable NP contribution to  $b \to c\ell\bar{\nu}$  would imply NP at a fairly low scale
- $\Lambda_b \to \Lambda_c \ell \bar{\nu}$  will provide important cross checks, ultimate uncertainty near  $R(D^{(*)})$
- HQET: model independent, more predictive in  $\Lambda_b \to \Lambda_c \ell \bar{\nu}$  than in  $B \to D^{(*)} \ell \bar{\nu}$
- Clear evidence for  $\Lambda_{\rm QCD}/m_c^2$  term in an exclusive decay (independent of  $|V_{cb}|$ )
- ullet The expansion in  $\Lambda_{
  m QCD}/m_c^2$  appears well behaved
- LQCD important: all form factors in full phase space, SU(3) breaking (LHCb)
- $B \to D^* \ell \bar{\nu}$  and  $|V_{cb}|$ : Lots of progress, many open issues, feel free to ask...
- Belle II and LHCb data + theory progress
  - ⇒ great improvements in SM measurements and in sensitivity to new physics







**Extra slides** 

 $|V_{cb}|$  from  $B o D^*\ellar
u$ 

# Making the most of heavy quark symmetry

• "Idea": fit 4 functions (1 leading-order + 3 subleading Isgur-Wise functions) from  $B \to D^{(*)} l \bar{\nu} \implies \mathcal{O}(\Lambda_{\rm QCD}^2/m_{c,b}^2\,,\,\alpha_s^2)$  uncertainties

[Bernlochner, ZL, Papucci, Robinson, 1703.05330]

- Observables: in B o Dlar
  u:  $\mathrm{d}\Gamma/\mathrm{d}w$  (Only Belle published fully corrected distributions) in  $B o D^*lar
  u$ :  $\mathrm{d}\Gamma/\mathrm{d}w$   $R_{1,2}(w)$  form factor ratios
  - Systematically improvable with more data
  - $\mathcal{O}(\Lambda_{\mathrm{QCD}}^2/m_{c,b}^2)$  uncertainties can be constrained comparing w/ lattice form fact.
- Considered many fit scenarios, with/without LQCD and/or QCD sum rule inputs

#### With all LQCD and no QCDSR input:

Fitting only unfolded Belle data

$$|V_{cb}|_{\rm BLPR} = (39.1 \pm 1.1) \times 10^{-3}$$



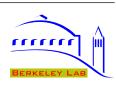


# SM predictions for R(D) and $R(D^{st})$

Small variations: heavy quark symmetry & phase space leave little wiggle room

Scenario	R(D)	$R(D^*)$	Correlation	
$L_{w=1}$	$0.292 \pm 0.005$	$0.255 \pm 0.005$	41%	
$L_{w=1} + SR$	$0.291 \pm 0.005$	$0.255 \pm 0.003$	57%	
NoL	$0.273 \pm 0.016$	$0.250 \pm 0.006$	49%	
NoL+SR	$0.295 \pm 0.007$	$0.255 \pm 0.004$	43%	
$L_{w\geq 1}$	$0.298 \pm 0.003$	$0.261 \pm 0.004$	19%	
$L_{w\geq 1} + SR$	$0.299 \pm 0.003$	$0.257 \pm 0.003$	44%	
$th: L_{w \geq 1} + SR$	$0.306 \pm 0.005$	$0.256 \pm 0.004$	33%	
Data [HFLAV]	$0.340 \pm 0.030$	$0.295 \pm 0.014$	-38%	
Fajfer et al. '12	_	$0.252 \pm 0.003$		
Lattice [FLAG]	$0.300 \pm 0.008$			
Bigi, Gambino '16	$0.299 \pm 0.003$			
Bigi, Gambino, Schacht '17		$0.260\pm0.008$		
Jaiswal, Nandi, Patra '17	$0.302 \pm 0.003$	$0.257\pm0.005$	13%	
SM [HFLAV]	$0.299 \pm 0.003$	$0.258 \pm 0.005$	_	





#### **The CLN fits used 1997–2017**

• Role of QCD SR in CLN:  $R_{1,2}(w) = \underbrace{R_{1,2}(1)}_{\text{fit}} + \underbrace{R'_{1,2}(1)}_{\text{fixed}} (w-1) + \underbrace{R''_{1,2}(1)}_{\text{fixed}} (w-1)^2/2$ 

In HQET: 
$$R_{1,2}(1) = 1 + \mathcal{O}(\Lambda_{\text{QCD}}/m_{c,b}, \alpha_s)$$
  $R_{1,2}^{(n)}(1) = 0 + \mathcal{O}(\Lambda_{\text{QCD}}/m_{c,b}, \alpha_s)$ 

The  $\mathcal{O}(\Lambda_{\mathrm{QCD}}/m_{c,b})$  terms are determined by 3 subleading Isgur-Wise functions

• Inconsistent fits: same param's determine  $R_{1,2}(1)-1$  (fit) and  $R_{1,2}^{(1,2)}(1)$  (QCDSR)

Sometimes calculations using QCD sum rules are called the HQET predictions

Devised fits to "interpolate" between BGL and CLN [Bernlochner, ZL, Robinson, Papucci, 1708.07134]

form factors	BGL CLN		CLNnoR	noHQS	
axial $\propto \epsilon_{\mu}^*$	$b_0, b_1$	$h_{A_1}(1), \ \rho_{D^*}^2$	$h_{A_1}(1), \ \rho_{D^*}^2$	$h_{A_1}(1), \ \rho_{D^*}^2, \ c_{D^*}$	
vector	$a_0, a_1$	$\int R_1(1)$	$\int R_1(1), R'_1(1)$	$\int R_1(1), R'_1(1)$	
axial $(\mathcal{F}_1)$	$c_1, c_2$	$R_2(1)$	$R_2(1), R'_2(1)$	$R_2(1), R'_2(1)$	

Relaxing constraints on  $R'_{1,2}(1)$ , fit results similar to BGL





# **Nested hypothesis tests**

Optimal BGL fit parameter choice, given available data? (upper:  $\chi^2$ , lower:  $|V_{cb}| \times 10^3$ )

$n_a$	1	2	3	1	2	3	1	2	3
1	$33.2$ $38.6 \pm 1.0$	$31.6$ $38.6 \pm 1.0$	$31.2$ $38.6 \pm 1.0$	$33.0$ $39.0 \pm 1.5$	$29.1$ $40.7 \pm 1.6$	$28.9$ $40.7 \pm 1.6$	$30.4$ $40.7 \pm 1.7$	$29.1$ $40.6 \pm 1.8$	$28.9$ $40.6 \pm 1.8$
2	$32.9$ $38.8 \pm 1.1$	$31.3$ $38.7 \pm 1.1$	$31.1$ $38.8 \pm 1.0$	$32.7$ $39.5 \pm 1.7$	$27.7 \ 41.7 \pm 1.8$	$27.7$ $41.6 \pm 1.8$	$29.2$ $41.8 \pm 2.0$	$27.7$ $41.8 \pm 2.0$	$27.7$ $41.7 \pm 2.0$
3	$31.7$ $39.0 \pm 1.1$	$31.3$ $38.6 \pm 1.2$	$31.0$ $38.6 \pm 1.1$	$29.1$ $41.9 \pm 2.0$	$27.7$ $41.8 \pm 2.0$	$27.6$ $41.7 \pm 2.0$	$29.2$ $41.8 \pm 2.0$	$27.6$ $41.7 \pm 1.9$	$23.2$ $41.4 \pm 2.0$
	$n_b = 1$ $n_b = 1$			$n_b = 2$	$n_b = 3$				

- Fit w/ 1 param added / removed:  $\mathrm{BGL}_{(n_a\pm 1)n_bn_c}$ ,  $\mathrm{BGL}_{n_a(n_b\pm 1)n_c}$ ,  $\mathrm{BGL}_{n_an_b(n_c\pm 1)}$
- Accept descendant (parent) if  $\Delta \chi^2$  is above (below) a boundary, say,  $\Delta \chi^2 = 1$
- Repeat until "stationary" fit is found, preferred over its parents and descendants
- If multiple stationary fits, choose smallest N, then smallest  $\chi^2$  (333 is an overfit!)

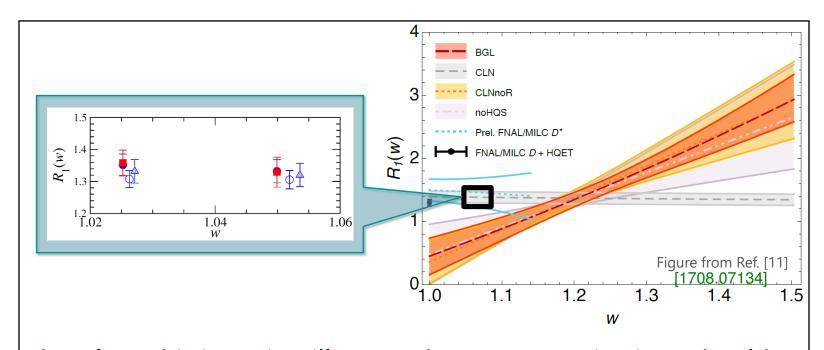
Start from small N, to avoid overfitting e.g.:  $\begin{cases} 111 \rightarrow 211 \rightarrow 221 \rightarrow 222 \\ 121 \rightarrow 131 \rightarrow 231 \rightarrow 232 \rightarrow 222 \end{cases}$ 





## Lattice QCD, preliminary results

FNAL/MILC and JLQCD are both working on the  $B \to D^* \ell \bar{\nu}$  form factors Independent formulations: staggered vs. Mobius domain-wall actions



Therefore, this issue is still open. These parametrizations should be eventually replaced by a lattice-based parametrization.

[T. Kaneko, JLQCD poster at Lattice 2018, 1811.00794; also Fermilab/MILC, 1710.09817I]

• No qualitative difference between LQCD calculation at w=1, or slightly above



